



ICI at 75: Facing the Future

2015 General Membership Meeting

May 6–8, 2015 • Marriott Wardman Park Hotel • Washington, DC

PROGRAM

Wednesday, May 6

Noon–7:00 p.m.

Conference Registration

Marriott Ballroom Foyer

1:00–3:00 p.m.

Exhibit Hall Open

Exhibit Hall

Refreshments will be available.

3:00–3:30 p.m.

Welcome and Opening Remarks

Marriott Ballroom



George C. W. Gatch

Chairman

GMM Planning Committee

CEO, Global Funds Management

JP Morgan Asset Management

Chairman's Address



F. William McNabb III

Chairman

Investment Company Institute

Chairman and CEO

Vanguard



Follow @ICI on Twitter.
Use #ICIGMM to join
the conversation.

3:30–4:45 p.m.

GMM Policy Forum

Marriott Ballroom



Walter W. Bettinger II
President and CEO
Charles Schwab Corporation



Paul Schott Stevens
President and CEO
Investment Company Institute

4:45–7:00 p.m.

Welcome Reception and Legal Forum

Exhibit Hall

The Welcome Reception will feature the following Legal Forum presentations:

- » Money Market Fund Reforms and Shareholder Proxy Solicitation Implications, Dechert LLP and Okapi Partners LLC (5:10–5:55 p.m.)
- » Simfund Retirement, Strategic Insight (6:00–6:45 p.m.)

The industry's newest and most complete business intelligence tool provides DCIO professionals with access to critical, high-quality plan-level information on DC plans.

Thursday, May 7

6:00 a.m.

Mutual Fund Walk and Jog

Join us for a fun and healthy start to your morning. Participants will assemble on the lobby level near the 24th Street entrance at 6:00 a.m.

7:00 a.m.–6:30 p.m.

Conference Registration

Marriott Ballroom Foyer

7:30–8:45 a.m.

Buffet Breakfast with Exhibitors

Exhibit Hall

7:30–8:45 a.m.

Interactive Breakfast Discussion Sessions

Closed to the media.

Buffet breakfast will be available.

1. Closed-End Fund Issues

Lincoln 2

Anne Kritzmire, Discussion Leader
Managing Director, Closed-End Funds
Nuveen Securities

2. Developments in Asia-Pacific Fund Markets

Lincoln 3

Dan Waters, Discussion Co-Leader
Managing Director
ICI Global

Qiumei Yang, Discussion Co-Leader
CEO, Asia-Pacific
ICI Global

3. Exchange-Traded Funds

Lincoln 6

Noah Hamman, Discussion Leader
Chief Executive Officer
AdvisorShares Investments

4. Fund Distribution Challenges

Hall B South

John McDonough, Discussion Leader
President and Head of Distribution
OppenheimerFunds

9:00–10:15 a.m.

Facing the Future: Fresh Perspectives

Marriott Ballroom

Fund leaders will discuss industry priorities and concerns and share their thoughts on what the future may hold for investors. Topics will include:

- » The implications of key regulatory developments
- » The impact of demographic changes and social media on investment time horizons and product development
- » How the fund industry can help investors begin saving at an early age
- » The cybersecurity landscape
- » The workforce of the future



Jenny Johnson, Moderator
Executive Vice President, Operations and Technology
Franklin Templeton Investments



Omar Aguilar
Senior Vice President and Chief Investment Officer, Equities
Charles Schwab Investment Management



Kara Kohler Hoogensen
Managing Director, Product Development
Principal Funds



Simon Mendelson
Managing Director, Head of Product Management and Development, Americas
Deutsche Asset & Wealth Management



Julie St. John
President and Chief Information Officer
Investment Operations and Technology Group
The Capital Group Companies

10:30–11:45 a.m.

Investment Solutions

Marriott Ballroom

Investment leaders will explore “solutions” investing, including:

- » How solutions investing is defined
- » The roles of the adviser, the asset manager, and technology in a solutions framework and in product development
- » How to demonstrate that your solution is delivering value
- » What solutions investing is likely to mean worldwide



Scott Burns, Moderator
Head of Asset Management Client Solutions
Morningstar



Paul Hatch
Group Managing Director and the Head of Advice and Solutions
UBS Wealth Management Americas



Anne Lester
Senior Portfolio Manager, Global Multi-Asset Group
J.P. Morgan Asset Management



James Macey
Director and Portfolio Manager
Allianz Global Investors

11:45 a.m.–12:45 p.m.

Reception

Exhibit Hall

The reception will feature the following Legal Forum presentation:

- » Investment Funds as Litigation Plaintiffs, Lief Cabraser Heimann & Bernstein LLP (Noon–12:45 p.m.)

12:45–2:15 p.m.

Luncheon with Keynote Speaker: Indra Nooyi

Marriott Ballroom



Indra Nooyi
Chairman and CEO
PepsiCo



F. William McNabb III
Chairman
Investment Company Institute
Chairman and CEO
Vanguard

Luncheon sponsored by:



2:30–3:45 p.m.

Facing the Future: A Conversation with Former ICI Chairmen

Marriott Ballroom

Join three former ICI chairmen as they share lessons learned over their lengthy careers in the fund industry and look ahead to what the future may hold for investors and funds.



Paul Schott Stevens, Moderator
President and CEO
Investment Company Institute



John J. "Jack" Brennan
Chairman Emeritus
Vanguard



Paul G. Haaga
Former Chairman of the Board
Capital Research & Management Company



James Riepe
Senior Adviser and Retired Vice Chairman
T. Rowe Price Group

4:00–5:15 p.m.

Millennials and Investment Advice: What the Future Holds

Lincoln Ballroom

Financial services industry leaders will discuss various business models aimed at meeting the needs of Millennial investors and how such models may evolve as Millennials gain greater wealth.



Stuart Parker, Moderator
President
Prudential Investments



Richard K. Dion
Executive Vice President
Head of Strategic Partnerships
Investnet



Adam Nash
CEO
Wealthfront



Andrew Sieg
Head of Global Wealth and Retirement Solutions
Bank of America Merrill Lynch

5:15–7:15 p.m.

Reception and Legal Forum

Exhibit Hall

The reception will feature the following Legal Forum presentations:

- » Are We There Yet? The Latest Developments in Less-Transparent Exchange-Traded Products, Stradley Ronon Stevens & Young, LLP (5:20–6:05 p.m.)
- » The SEC's Recent Focus on the 15(c) Process, Drinker Biddle & Reath LLP, (6:10–6:55 p.m.)

7:15 p.m.

Celebrating 75 Years: 2015 GMM Dinner Party

Marriott Ballroom

Featuring entertainment by the Zippers



Come hear your favorite songs performed by the Zippers, a high-energy dance band that got its start on the national TV show *Star Search*. In addition to musical entertainment, the 2015 GMM dinner party will feature cocktails, specialty food stations, and excellent networking opportunities.

Evening event sponsored by:



Friday, May 8

7:00–11:00 a.m.

Conference Registration

Marriott Ballroom Foyer

7:30–8:45 a.m.

A Regulatory Session Featuring Mary Jo White

Marriott Ballroom

Breakfast will be served until 8:00 a.m.



Mary Jo White

Chair

U.S. Securities and Exchange Commission



Paul Schott Stevens, Moderator

President and CEO

Investment Company Institute

9:00–10:15 a.m.

Regulatory Developments and the Demands of Operating a Global Asset Management Firm

Marriott Ballroom

Global asset management leaders will discuss key regulatory developments, related business challenges, and client concerns worldwide.



Campbell Fleming, Moderator
CEO, EMEA
Global Chief Operating Officer
Columbia Threadneedle Investments



David Wright, Presenter/Panelist
Secretary General
International Organization of Securities Commissions



Christian Dargnat
CEO
BNP Paribas Asset Management



Barbara G. Novick
Vice Chairman
BlackRock, Inc.



David Semaya
Executive Chairman
Nikko Asset Management

10:30–11:30 a.m.

Global Security Imperatives: The Perspective of a U.S. Navy Admiral

Marriott Ballroom



Admiral James Stavridis, USN (Retired)
Supreme Allied Commander Europe
Commander of the U.S. European Command
Dean of the Fletcher School of Law and Diplomacy
Tufts University

11:30 a.m.–2:00 p.m.

Brunch

Virginia Room

Additional conference sponsors include:



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SEI New ways.
New answers.[®]

Deloitte.



Northern Trust



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we make information work.



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