

# 2016 GMM

General Membership Meeting

MAY 18–20, 2016 • WASHINGTON HILTON • WASHINGTON, DC

## Program

### Wednesday, May 18

1:00–7:00 p.m.

#### Conference Registration

CONCOURSE FOYER

1:00–3:00 p.m.

#### Exhibit Hall Open

EXHIBITION: COLUMBIA HALL

*Refreshments will be available.*

3:00–3:30 p.m.

#### Welcome and Opening Remarks

INTERNATIONAL BALLROOM



**Thomas Faust**  
Chairman  
GMM Planning Committee  
Chairman and CEO  
Eaton Vance Corp.

#### Chairman's Address



**F. William McNabb III**  
Chairman  
Investment Company Institute  
Chairman and CEO  
Vanguard

3:30–4:45 p.m.

#### GMM Policy Forum

INTERNATIONAL BALLROOM



**Michael R. Bloomberg**  
Founder, Bloomberg LP  
Philanthropist  
UN Secretary-General's Special Envoy for Cities and Climate Change  
Three-Term Mayor of New York City



**Paul Schott Stevens**  
President and CEO  
Investment Company Institute.

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4:45–7:00 p.m.

## Welcome Reception and Legal Forum

EXHIBITION: COLUMBIA HALL

*Ice Cream Lab sponsored by:*



**BNY MELLON**

The welcome reception will feature the following Legal Forum presentations:

**Securities Lending: Today's Realities, Risks, and Opportunities**, eSecLending  
Speakers: Craig Starble and Peter Bassler (5:10–5:55 p.m.)

**The MetLife Impact on FSOC and SIFI Designations of Asset Managers**, Dechert LLP  
Speakers: Robert H. Ledig and Thomas P. Vartanian (6:00–6:45 p.m.)

## Thursday, May 19

7:30 a.m.–6:30 p.m.

### Conference Registration

CONCOURSE FOYER

7:30–8:45 a.m.

### Buffet Breakfast with Exhibitors

EXHIBITION: COLUMBIA HALL

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7:30–8:45 a.m.

## Interactive Breakfast Discussion Sessions

EXHIBITION: COLUMBIA HALL

Closed to the media

*Buffet breakfast will be available.*

Seating for the breakfast discussion sessions is limited; arrive early to increase your chances of receiving your first session choice.

### 1. Closed-End Fund Matters

GEORGETOWN EAST



**William Renahan**  
Senior Counsel  
Virtus Investment Partners, Inc.

### 2. Developments in Asia-Pacific Fund Markets

GEORGETOWN WEST



**Dan Waters**, Discussion Co-Leader  
Managing Director  
ICI Global



**Qiumei Yang**, Discussion Co-Leader  
CEO, Asia-Pacific  
ICI Global

### 3. ETF and Market Structure Issues

LINCOLN EAST



**Steve Sachs**, Discussion Leader  
Managing Director, Head of Capital Markets  
Investment Management Division  
Goldman Sachs Asset Management

### 4. Fund Distribution Challenges

LINCOLN WEST



**Jim Devaney**, Discussion Leader  
Senior Vice President, National Sales Manager  
Prudential Investments

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9:00–10:15 a.m.

### Facing the Future: Fresh Perspectives

INTERNATIONAL BALLROOM

Fund leaders will discuss industry priorities and concerns and share their thoughts on what the future may hold for investors.



**Brian Langstraat**, Moderator  
CEO  
Parametric



**Andrea L. Lisher**  
Managing Director  
JPMorgan Asset Management



**Shudrawn A. Thomas**  
Executive Vice President, Head of Funds and Managed Accounts Group  
Northern Trust Asset Management



**Leslie Walstrom**  
Head of U.S. Marketing  
Columbia Threadneedle Investments

10:30–11:45 a.m.

### Investment Insights and Strategies

INTERNATIONAL BALLROOM

Well-known chief investment strategists will engage in an insightful discussion of the current investment landscape and key investment opportunities and product trends.



**Tyler Mathisen**, Moderator  
Coanchor, *CNBC's Power Lunch* and  
*Nightly Business Report*



**Krishna Memani**  
Chief Investment Officer  
OppenheimerFunds



**Liz Ann Sonders**  
Senior Vice President and Chief Investment Strategist  
Charles Schwab & Co.

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11:45 a.m.–12:45 p.m.

## Reception and Legal Forum

EXHIBITION: COLUMBIA HALL

The reception will feature the following Legal Forum presentation:

**Nontraditional Approaches to Securities and Antitrust Litigation**, Lieff Cabraser Heimann & Bernstein LLP

Speaker: Bruce W. Leppla (Noon–12:45 p.m.)

12:45–2:15 p.m.

## Luncheon with Keynote Speaker Walter Isaacson

INTERNATIONAL BALLROOM

Noted author and best-selling biographer Walter Isaacson will engage in a lively dialogue with Columbia Threadneedle Investments CEO Ted Truscott about the people who created the computer and the Internet, and examine the talents that allowed certain inventors and entrepreneurs to turn their disruptive ideas into reality.



**Walter Isaacson**

Author, *The Innovators: How a Group of Hackers, Geniuses, and Geeks Created the Digital Revolution* and *Steve Jobs*  
President and CEO  
Aspen Institute



**William F. "Ted" Truscott**

CEO  
Columbia Threadneedle Investments

Luncheon sponsored by:

**NextShares**   
Solutions LLC

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2:30–3:45 p.m.

## How Will Emerging Technologies Affect the Asset Management Industry?

INTERNATIONAL BALLROOM

Industry strategists and entrepreneurs will engage in a provocative discussion about the role of emerging technologies and the potential effects on the asset management landscape. They also will explore how unconventional players may shape the industry going forward, possible sources of industry disruption, and related opportunities for asset managers.



**Lisa Jones**, Moderator  
President and CEO  
Pioneer Investment Management USA Inc.



**George Castineiras**  
Managing Director  
Gibraltar Ventures



**Sanjiv Mirchandani**  
President  
Fidelity Clearing and Custody



**Akbar Sheriff**  
Head of Regional Client Management EMEA  
State Street Bank & Trust Company

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4:00–5:00 p.m.

### The Evolving Global Asset Management Landscape

LINCOLN ROOM

Global distribution and business leaders will explore the evolving asset management landscape worldwide.



**James McNamara**, Moderator  
President and CEO  
Goldman Sachs Mutual Funds



**Campbell Fleming**  
Chair  
ICI Global Steering Committee



**Ajai M. Kaul**  
CEO, Asia ex-Japan  
AllianceBernstein Singapore



**Frank Xiaoling Zhang**  
Deputy CEO  
China Asset Management Co., Limited  
CEO  
China Asset Management (Hong Kong) Limited

5:00–7:15 p.m.

### Reception and Legal Forum

EXHIBITION: COLUMBIA HALL

Boardwalk sponsored by:



**NORTHERN  
TRUST**

The reception will feature the following Legal Forum presentations:

**Current Developments Concerning Cybersecurity**, Drinker Biddle & Reath LLP

Speakers: Mary Hansen and Jillian Bosmann (5:20–6:05 p.m.)

**Hot Topics in SEC Enforcement and Examinations**, Stradley Ronon Stevens & Young, LLP

Speakers: Gregory D. DiMeglio and Michael D. Mabry (6:10–6:55 p.m.)

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7:15 p.m.

### GMM Dinner Event

INTERNATIONAL BALLROOM

Featuring entertainment by the **Jill Gioia Band**.



Come hear your favorite songs performed by the Jill Gioia Band. This versatile, high-energy band blends music from all genres, including classic rock and roll, pop, disco, blues, and current hits of today. In addition to musical entertainment, the 2016 GMM dinner party will feature excellent networking opportunities, cocktails, and specialty food stations.

*Dinner event sponsored by:*



## Friday, May 20

7:00–11:00 a.m.

### Conference Registration

CONCOURSE FOYER

7:30–8:30 a.m.

### Regulatory Address by SEC Chair Mary Jo White

INTERNATIONAL BALLROOM

*Breakfast will be served until 8:00 a.m.*



**Mary Jo White**  
Chair  
U.S. Securities and Exchange Commission

*Breakfast sponsored by:*

**Deloitte.**



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8:45–10:00 a.m.

### Geopolitical Risks and the Global Economy

INTERNATIONAL BALLROOM

This session will explore geopolitical risks and their implications for global markets and corporate strategies.



**Kevin Kajiwara**  
Co-President  
Teneo Intelligence



**Thomas Faust**  
Chairman  
GMM Planning Committee  
Chairman and CEO  
Eaton Vance Corp.

10:15–11:15 a.m.

### U.S. Election Insights and Predictions

INTERNATIONAL BALLROOM

Charlie Cook and Stuart Rothenberg, well-known authorities on U.S. elections, will provide insights about current political developments and a provocative look ahead to the 2016 presidential election.



**Charlie Cook**  
Editor and Publisher  
*The Cook Political Report*  
Political Analyst  
*National Journal*



**Stuart Rothenberg**  
Founder  
*The Rothenberg & Gonzales Political Report*

11:15–2:00 p.m.

### Brunch

LINCOLN ROOM

*Additional conference sponsors include:*

