

2018 DIRECTORS

FUND DIRECTORS WORKSHOP

May 22–24 | Washington Hilton Hotel | Washington, DC

Program

The IDC Fund Directors Workshop is closed to the media.

TUESDAY, MAY 22

1:00–7:00 p.m.

Conference Registration

CONCOURSE FOYER

1:00–3:00 p.m.

Exhibit Hall Open

COLUMBIA, TERRACE LEVEL

Refreshments will be available.

3:00–3:10 p.m.

Welcome and Opening Remarks

INTERNATIONAL BALLROOM, CONCOURSE LEVEL



Stuart Parker

Chairman, GMM Planning Committee
President and CEO, PGIM Investments

3:10–4:00 p.m.

James Gorman: An Address to the Fund Industry

INTERNATIONAL BALLROOM, CONCOURSE LEVEL



James Gorman

Chairman and CEO
Morgan Stanley

4:00–5:00 p.m.

David M. Rubenstein in Conversation with Paul Schott Stevens

INTERNATIONAL BALLROOM, CONCOURSE LEVEL



David M. Rubenstein

Cofounder and Co-Executive Chairman
The Carlyle Group



Paul Schott Stevens

President and CEO
Investment Company Institute

5:00–7:00 p.m.

Welcome Reception and Legal Forum

EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

Legal Forum Presentations:

GUNSTON, ENTER THROUGH EXHIBIT HALL

The SEC, the DOL, and the States: A New Fiduciary World

Stradley Ronon

Speakers: David W. Grim, George Michael Gerstein, and Lawrence P. Stadulis

Securities Lending Back on the Radar: Volatility Is Up, Rates Are Up—It's a Good Time to Look at Securities Lending or to Reevaluate Your Strategy

eSecLending

Speakers: Craig Starble and Peter Bassler

Welcome reception sponsored by



WEDNESDAY, MAY 23

7:00 a.m.–6:30 p.m.

Conference Registration

CONCOURSE FOYER

7:30–8:45 a.m.

IDC Directors Breakfast

GEORGETOWN WEST, CONCOURSE LEVEL

9:00–9:15 a.m.

Chairman's Address

INTERNATIONAL BALLROOM, CONCOURSE LEVEL



William F. "Ted" Truscott

Chairman, Investment Company Institute
CEO, Columbia Threadneedle Investments

9:15–10:05 a.m.

Facing the Future: Fresh Perspectives

INTERNATIONAL BALLROOM, CONCOURSE LEVEL



Yie-Hsin Hung, Moderator

CEO
New York Life Investment Management



Jillian DeSignore

Head of ETF Distribution
J.P. Morgan



Kirk Moore

Head of Global Research
Columbia Threadneedle Investments



Matthew O'Connor

President, American Funds Distributors
Director, North American Distribution
Capital Group

10:15–11:05 a.m.

Investing Today: Insights, Risks, and More

INTERNATIONAL BALLROOM, CONCOURSE LEVEL



Marie Chandoha, Moderator
President and CEO
Charles Schwab Investment Management



Rupal Bhansali
Chief Investment Officer, International and Global Equities
Ariel Investments



Sarah Ketterer
CEO and Cofounder
Causeway Capital Management



Teresa Kong
Portfolio Manager
Matthews Asia

11:15 a.m.–12:05 p.m.

IDC Session: New Regulatory Requirements—What Are Funds Doing to Get Ready?

GEORGETOWN, CONCOURSE LEVEL

Fund groups are busy implementing the SEC's liquidity risk management and data modernization rules, and some are also incorporating revised Markets in Financial Instruments Directive (MiFID II) investment research requirements into their processes. The panel will discuss the status of that work, the board's role in overseeing implementation of new regulatory requirements, and the specific items that require board approval.



Keith F. Hartstein, Moderator
Independent Director
PGIM Investments Funds



Michael G. Doherty
Partner
Ropes & Gray LLP



Fran M. Pollack-Matz
Senior Legal Counsel
T. Rowe Price Associates, Inc.

12:15–2:00 p.m.

Luncheon with Keynote Speaker Jon Meacham

INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Pulitzer Prize–winning author Jon Meacham will discuss *The Soul of America*, his forthcoming book exploring key turning points in US history, apply lessons drawn from the country’s sometimes turbulent past to today’s partisan divisions, and explore what the future might hold for America.

Following Meacham’s remarks, he will engage in a question-and-answer session with ICI President and CEO Paul Schott Stevens.



Jon Meacham
Pulitzer Prize–Winning Author
Presidential Historian



Paul Schott Stevens
President and CEO
Investment Company Institute

Luncheon sponsored by



2:20–3:15 p.m.

IDC Session: Making the Most of the Board-CCO Relationship

GEORGETOWN, CONCOURSE LEVEL



Kathleen T. Barr, Moderator
Independent Director
William Blair Funds



Thomas Knipper
Vice President and Chief Compliance Officer
Ameritas Investment Partners

3:30–4:30 p.m.

Cybersecurity Concerns: How to Protect Fund Shareholders

INTERNATIONAL BALLROOM, CONCOURSE LEVEL



Chad Renfro
Head of Enterprise Cybersecurity
Fidelity Investments

4:45–5:30 p.m.

IDC Session: Follow-Up to GMM Cybersecurity Session: Considerations for Fund Directors

GEORGETOWN, CONCOURSE LEVEL

Industry experts will discuss key insights and provide practical tips for board oversight of cybersecurity risk.



Joanne Pace, Moderator
Independent Director
OppenheimerFunds



Janine Comstock
Senior Vice President and Chief Security Officer
MFS Investment Management



Bruce G. Leto
Partner and Co-Chair, Investment Management Group
Stradley Ronon Stevens & Young, LLP

5:30–6:30 p.m.

Reception and Legal Forum

EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

Legal Forum Presentation:

GUNSTON, ENTER THROUGH EXHIBIT HALL

How the Global Asset Manager Conducts Asset Management Business in China Under the New Opening Up Policies

Llinks Law Offices

Speaker: Sandra Lu, Partner of Llinks

Reception sponsored by

J.P.Morgan

7:00–10:00 p.m.

GMM Dinner Reception

SMITHSONIAN INSTITUTION NATIONAL MUSEUM OF AFRICAN AMERICAN HISTORY AND CULTURE



Enjoy excellent networking, cocktails, and hors d'oeuvres while exploring the thought-provoking and important exhibition space at the Smithsonian Institution National Museum of African American History and Culture.

Bus transportation from the Washington Hilton to the museum will begin at 6:30 p.m. Buses will be departing from the T Street entrance of the Washington Hilton.

You will be required to show your conference badge to enter the museum.

Dinner sponsored by



THURSDAY, MAY 24

7:00–11:00 a.m.

Conference Registration

CONCOURSE FOYER

7:30–8:45 a.m.

Regulatory Session: A Conversation with SEC Commissioner Michael S. Piowar

INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Breakfast will be served until 8:00 a.m.



Michael S. Piowar

Commissioner
US Securities and Exchange Commission



Paul Schott Stevens

President and CEO
Investment Company Institute

Breakfast sponsored by



9:00–9:50 a.m.

Technology Disruptors

INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Embracing Change: Artificial Intelligence and the Cloud



Tom Eck

Chief Technology Officer, Industry Platforms
IBM

The Future of Transportation



Adam Jonas

Managing Director
Morgan Stanley

10:00–11:00 a.m.

Challenges and Change: My 132 Days Off the Planet

INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Captain Jerry Linenger, a NASA astronaut and retired US Navy flight surgeon, will share with the GMM audience the wonders of space exploration, including stories about the nearly five months he spent aboard the Russian space station Mir, where he survived repeated failure of critical life-support systems and an out-of-control fire.



Jerry Linenger

NASA Astronaut
United States Navy (Ret.) Flight Surgeon

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