Program

Wednesday, May 18

1:00–7:00 p.m.

Conference Registration
CONCOURSE FOYER

1:00–3:00 p.m.

Exhibit Hall Open
EXHIBITION: COLUMBIA HALL
Refreshments will be available.

3:00–3:30 p.m.

Welcome and Opening Remarks
INTERNATIONAL BALLROOM

Thomas Faust
Chairman
GMM Planning Committee
Chairman and CEO
Eaton Vance Corp.

Chairman’s Address

F. William McNabb III
Chairman
Investment Company Institute
Chairman and CEO
Vanguard

3:30–4:45 p.m.

GMM Policy Forum
INTERNATIONAL BALLROOM

Michael R. Bloomberg
Founder, Bloomberg LP
Philanthropist
UN Secretary-General’s Special Envoy for Cities and Climate Change
Three-Term Mayor of New York City

Paul Schott Stevens
President and CEO
Investment Company Institute.
4:45–7:00 p.m.

Welcome Reception and Legal Forum
EXHIBITION: COLUMBIA HALL

Ice Cream Lab sponsored by:

Ice Cream Lab sponsored by:

BNY MELLON

The welcome reception will feature the following Legal Forum presentations:

Securities Lending: Today’s Realities, Risks, and Opportunities, eSecLending
Speakers: Craig Starble and Peter Bassler (5:10–5:55 p.m.)

The MetLife Impact on FSOC and SIFI Designations of Asset Managers, Dechert LLP
Speakers: Robert H. Ledig and Thomas P. Vartanian (6:00–6:45 p.m.)

Thursday, May 19

7:30 a.m.–6:30 p.m.

Conference Registration
CONCOURSE FOYER

7:30–8:45 a.m.

Buffet Breakfast with Exhibitors
EXHIBITION: COLUMBIA HALL

7:30–8:45 a.m.

Interactive Breakfast Discussion Session: Predicting the Future: Key Technology Developments and Their Likely Impacts on the Fund Industry
JEFFERSON ROOM

Closed to the media

BUFFET BREAKFAST will be available.

Joseph Boerio, Discussion Co-Leader
Senior Vice President and Chief Technology Officer
Franklin Templeton Investments

Dan Greller, Discussion Co-Leader
Chief Information Officer
Artisan Partners
9:00–10:15 a.m.

**Facing the Future: Fresh Perspectives**

**INTERNATIONAL BALLROOM**

Fund leaders will discuss industry priorities and concerns and share their thoughts on what the future may hold for investors.

- **Brian Langstraat**, Moderator  
  CEO  
  Parametric

- **Andrea L. Lisher**  
  Managing Director  
  JPMorgan Asset Management

- **Shundrawn A. Thomas**  
  Executive Vice President, Head of Funds and Managed Accounts Group  
  Northern Trust Asset Management

- **Leslie Walstrom**  
  Head of U.S. Marketing  
  Columbia Threadneedle Investments
10:30–11:45 a.m.

**Welcoming Remarks**

JEFFERSON ROOM

Marty Burns  
Chief Industry Operations Officer  
Investment Company Institute

**Big Data Initiatives and the Power of the Cloud**

JEFFERSON ROOM

Fund industry executives will explore the following topics:

- Common practices and realistic expectations: big data analytics
- The role of data governance professionals and the recruiting of data scientists
- Working with third-party cloud service providers

Julien Courbe, Moderator  
Partner and U.S. Asset Management Advisory Leader  
PwC

Kyle Mallot  
Vice President  
DST Systems, Inc.

Sumedh Mehta  
Chief Technology Officer  
Putnam Investments

Wendy L. Walasek  
Executive Director, Asset Management  
JP Morgan Investment Management
11:45 a.m.–12:45 p.m.

**Reception and Legal Forum**

**EXHIBITION: COLUMBIA HALL**

The reception will feature the following Legal Forum presentation:

**Nontraditional Approaches to Securities and Antitrust Litigation**, Lieff Cabraser Heimann & Bernstein LLP

Speaker: Bruce W. Leppla (Noon–12:45 p.m.)

12:45–2:15 p.m.

**Luncheon with Keynote Speaker Walter Isaacson**

**INTERNATIONAL BALLROOM**

Noted author and best-selling biographer Walter Isaacson will engage in a lively dialogue with Columbia Threadneedle Investments CEO Ted Truscott about the people who created the computer and the Internet, and examine the talents that allowed certain inventors and entrepreneurs to turn their disruptive ideas into reality.

**Walter Isaacson**

*Author, The Innovators: How a Group of Hackers, Geniuses, and Geeks Created the Digital Revolution and Steve Jobs*

President and CEO

Aspen Institute

**William F. “Ted” Truscott**

*CEO*

Columbia Threadneedle Investments

Luncheon sponsored by:

[NextShares Solutions LLC](#)
2:30–3:45 p.m.

**Operations and Technology Leadership Roundtable**

*Jefferson Room*

Senior operations professionals will explore current challenges and opportunities for the fund industry. Topics will include:

- Regulatory initiatives, including the DOL’s fiduciary rule proposal, the SEC’s liquidity risk management proposal, and the SEC’s proposed new requirements for transfer agents
- Intermediary and vendor oversight
- Cybersecurity concerns and priorities
- Business continuity challenges

**Tammy Virnig**, Moderator
Principal
The Vanguard Group, Inc.

**Cary Fuchs**
Senior Vice President
Principal Funds

**Lisa Shea**
Senior Vice President
Northern Trust

**Michael Woodall**
Head of Mutual Fund Shareholder Services
Putnam Investments
4:00–5:00 p.m.

**The Changing Workforce**

**JEFFERSON ROOM**

Senior operations and human resources executives will explore top-of-mind workforce challenges in the asset management industry. Topics will include:

- Organizational cultures and employee development
- Work-life balance
- Driving engagement and exploring generational differences in the workplace

**Renee Booth**, Moderator  
Founder  
Leadership Solutions

**Heidi Croel**  
Senior Vice President  
Head of the U.S. Transfer Agent  
Franklin Templeton Investments

**Scott Duncan**  
Senior Vice President, General Manager  
American Funds

**Stacy Roode**  
Senior Vice President  
Head of Transfer Agent  
OppenheimerFunds

5:00–7:15 p.m.

**Reception and Legal Forum**

**EXHIBITION: COLUMBIA HALL**

*Boardwalk sponsored by:*

**NORTHERN TRUST**

The reception will feature the following Legal Forum presentations:

**Current Developments Concerning Cybersecurity**, Drinker Biddle & Reath LLP  
Speakers: Mary Hansen and Jillian Bosmann (5:20–6:05 p.m.)

**Hot Topics in SEC Enforcement and Examinations**, Stradley Ronon Stevens & Young, LLP  
Speakers: Gregory D. DiMeglio and Michael D. Mabry (6:10–6:55 p.m.)
7:15 p.m.

**GMM Dinner Event**
INTERNATIONAL BALLROOM

Featuring entertainment by the Jill Gioia Band.

Come hear your favorite songs performed by the Jill Gioia Band. This versatile, high-energy band blends music from all genres, including classic rock and roll, pop, disco, blues, and current hits of today. In addition to musical entertainment, the 2016 GMM dinner party will feature excellent networking opportunities, cocktails, and specialty food stations.

*Dinner event sponsored by:*

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**Friday, May 20**

7:00–11:00 a.m.

**Conference Registration**
CONCOURSE FOYER

7:30–8:30 a.m.

**Regulatory Address by SEC Chair Mary Jo White**
INTERNATIONAL BALLROOM

*Breakfast will be served until 8:00 a.m.*

Mary Jo White  
Chair  
U.S. Securities and Exchange Commission

*Breakfast sponsored by:*

**Deloitte.**
8:45–10:00 a.m.

**Meeting the Evolving Needs of Investors and Financial Advisers**

JEFFERSON ROOM

Representatives from fund firms and intermediaries will explore how assets are being gathered and serviced, including:

- How the different generations of investors are working with financial advisers, fund firms, and transfer agents
- Financial adviser demographics
- The tools and capabilities relating to service, operations, communications, and execution that financial advisers and their clients are requesting
- Challenges to successful client management

**John Moninger**, Moderator
Managing Director, Retail Sales
Eaton Vance Distributors

**Lisa Klassen**
Principal
Edward Jones Investments

**Steve Samuels**
Managing Director
Bank of America Merrill Lynch

**Jim Waters**
Vice President
Goldman Sachs Asset Management
10:15–11:15 a.m.

**U.S. Election Insights and Predictions**
INTERNATIONAL BALLROOM

Charlie Cook and Stuart Rothenberg, well-known authorities on U.S. elections, will provide insights about current political developments and a provocative look ahead to the 2016 presidential election.

Charlie Cook  
Editor and Publisher  
*The Cook Political Report*  
Political Analyst  
*National Journal*

Stuart Rothenberg  
Founder  
*The Rothenberg & Gonzales Political Report*

11:15–2:00 p.m.

**Brunch**
LINCOLN ROOM

Additional conference sponsors include: