Program

WEDNESDAY, MAY 3

1:00–7:00 p.m.

Conference Registration
MARriott Ballroom foyer

1:00–3:00 p.m.

Exhibit Hall Open
Exhibit Hall C

RefresHments will be available.

3:00–3:30 p.m.

Welcome and Opening Remarks
MARriott Ballroom

James McNamara
Chairman, GMM Planning Committee
President and CEO, Goldman Sachs Mutual Funds

Chairman's Address

William F. “Ted” Truscott
Chairman, Investment Company Institute
CEO, Columbia Threadneedle Investments

3:30–4:30 p.m.

GMM Policy Forum
MARriott Ballroom

Stanley McChrystal, in conversation with Paul Schott Stevens, will explore national security concerns, counterterrorism, crisis management, and leadership lessons.

Stanley A. McChrystal
General (Ret.), United States Army
Cofounder and Partner, The McChrystal Group

Paul Schott Stevens
President and CEO, Investment Company Institute
4:30–7:00 p.m.

Welcome Reception and Legal Forum
EXHIBIT HALL C

» Business Continuity: Planning and What to Consider
Drinker Biddle & Reath LLP
Speakers: Joshua Deringer, Jillian Bosmann, and Mary Hansen (4:35–5:20 p.m.)

» On the ETF Radar: What’s New and What’s Next
Stradley Ronon Stevens & Young, LLP
Speakers: Michael Mundt and J. Stephen Feinour Jr. (5:25–6:10 p.m.)

» Trends in SEC Examinations and Enforcement
Kirkland & Ellis LLP
Speakers: Jamie Walter and Aaron Schlaphoff (6:15–7:00 p.m.)

Welcome reception sponsored by

THURSDAY, MAY 4
7:00 a.m.–6:30 p.m.

Conference Registration
MARRIOTT BALLROOM FOYER
7:30–8:45 a.m.
Buffet Breakfast with Exhibitors
EXHIBIT HALL C

7:30–8:45 a.m.
Interactive Breakfast Discussion Sessions
Closed to the media

Buffet breakfast will be available. Seating for the breakfast discussion sessions is limited; arrive early to increase your chances of receiving your first session choice.

1. Closed-End Fund Matters
WASHINGTON ROOM 1 (EXHIBITION LEVEL)

David Lamb, Discussion Leader
Senior Vice President, Product and Market Analytics, Nuveen Securities

2. ETF Issues
WASHINGTON ROOM 2 (EXHIBITION LEVEL)

Greg Friedman, Discussion Co-Leader
Senior Vice President, Head of ETF Services, Fidelity Investments

James Ross, Discussion Co-Leader
Senior Managing Director and Global Head of ETFs, State Street Global Advisors
3. Fund Distribution Challenges and Opportunities
WASHINGTON ROOM 4 (EXHIBITION LEVEL)

Jennifer Abate, Discussion Leader
Managing Director, Lazard Asset Management

4. Opportunities and Challenges Facing Global Fund Managers
WASHINGTON ROOM 3 (EXHIBITION LEVEL)
Attend this informative session to meet ICI Global staff and to learn how developments in Europe, the Asia-Pacific region, and around the world are affecting US and global regulated funds.

Dan Waters, Discussion Co-Leader
Managing Director, ICI Global

Qiumei Yang, Discussion Co-Leader
CEO, Asia-Pacific, ICI Global

Patrice Bergé-Vincent, Discussion Co-Leader
Managing Director, Europe, ICI Global

Susan Olson, Discussion Co-Leader
Chief Counsel, ICI Global

Giles Swan, Discussion Co-Leader
Director of Global Funds Policy, ICI Global

9:00–9:50 a.m.
Facing the Future: Fresh Perspectives
MARRIOTT BALLROOM

Fund leaders will share insights on where the asset management industry is headed, including:

» The evolving product mix
» The future of distribution
» Industry innovation
» Attracting and developing talent

Shundrawn A. Thomas, Moderator
Executive Vice President, Head of Funds and Managed Accounts Group, Northern Trust Asset Management

Thomas A. Jones
Head of Strategic Relations, Columbia Threadneedle Investments
10:00-10:50 a.m.

**Investing Today: Insights from Chief Investment Strategists**

**MARRIOTT BALLROOM**

Industry-leading investment strategists will share their ideas on the current market environment, equity flows, portfolio construction, and how best to meet client needs.

- **Heather Miner**, Moderator
  Global Head of Strategic Advisory Solutions, Goldman Sachs Asset Management

- **Darrell Cronk**
  President, Wells Fargo Investment Institute
  Chief Investment Officer for Wealth and Investment Management, Wells Fargo & Company

- **Gregg S. Fisher**
  Founder and Head of Quantitative Research and Portfolio Strategy, Gerstein Fisher

- **Lisa Shalett**
  Head of Investment and Portfolio Strategies, Morgan Stanley Wealth Management

11:00-11:45 a.m.

**Joint GMM and IDC Session: Diversity in the Asset Management Industry**

**MARRIOTT BALLROOM**

Research studies show that diverse teams and organizations perform better than homogenous ones. Yet many firms in the asset management industry struggle to build truly diverse organizations. This panel will discuss practical steps managers can take to ensure their teams benefit from the power of diverse perspectives.

- **Gregory Davis**
  Principal, Global Head of Fixed Income, Vanguard

- **Marie L. Knowles**
  Independent Director, Fidelity Fixed Income & Asset Allocation Funds

- **Sonnia T. Shields**
  Executive Director, Head of Diversity, J.P. Morgan Asset Management
11:45 a.m.–12:45 p.m.

**Reception and Legal Forum**

**EXHIBIT HALL C**

The reception will feature the following Legal Forum presentation:

» *Where Are the Gatekeepers? The Need for Investment Firm Oversight of the Capital Markets*
  Lieff Cabraser Heimann & Bernstein LLP
  Speaker: Bruce W. Leppla (11:55 a.m.–12:40 p.m.)

12:45–2:30 p.m.

**Luncheon with Keynote Speaker Jeff Immelt**

**MARRIOTT BALLROOM**

**Jeff Immelt**  
Chairman and CEO, GE

**Paul Schott Stevens**  
President and CEO, Investment Company Institute

*Luncheon sponsored by*

NextShares Solutions LLC

2:45–3:45 p.m.

**Global Asset Management**

**MARRIOTT BALLROOM**

Global asset management leaders will share their perspectives on regulatory developments, related business challenges, and client concerns worldwide.

**William F. “Ted” Truscott**, Moderator  
Chairman, Investment Company Institute  
CEO, Columbia Threadneedle Investments

**Martin Gilbert**  
CEO, Aberdeen Asset Management

**David J. Semaya**  
Executive Chairman, Nikko Asset Management
4:00–5:00 p.m.

**Polar Obsession**

MARRIOTT BALLROOM

As a photojournalist working in some of the world’s most remote environments, Paul Nicklen faces incredible hardships and danger in pursuit of powerful images of iconic wildlife. At this inspiring session, Nicklen will share with GMM attendees many of his adventures and the award-winning photos that have brought attention to challenges facing species residing in some of the world’s most isolated regions.

Paul Nicklen
Wildlife Photojournalist

5:00–7:30 p.m.

**Reception and Legal Forum**

EXHIBIT HALL C

The reception will feature the following Legal Forum presentations:

- **Selecting and Onboarding Directors: Preserving Board Culture and Integrating New Members**
  Goodwin Procter LLP
  Speakers: Marco Adelfio and Paul Delligatti (5:05–5:50 p.m.)

- **SEC Enforcement and Civil Litigation: What Went Wrong and How You Can Avoid the Same Fate**
  Mayer Brown LLP
  Speakers: Amy Pershkow and Stephanie Monaco (5:55–6:40 p.m.)

- **Securities Lending: An Evolving Tool for Shareholder Value Relating to Return, Financing, and Liquidity**
  eSecLending
  Speakers: Craig Starble and Peter Bassler (6:45–7:30 p.m.)

7:30 p.m.

**GMM Dinner Event**

MARRIOTT BALLROOM

Featuring entertainment by Mike Flaherty’s Dixieland Direct Jazz Band

Dinner event sponsored by

[Logo images for PwC, DST, and State Street]
FRIDAY, MAY 5

7:00–11:00 a.m.

**Conference Registration**

MARRIOTT BALLROOM FOYER

7:30–8:30 a.m.

**Washington Today: A Regulatory Update**

MARRIOTT BALLROOM

*Breakfast will be served until 8:00 a.m.*

Paul Schott Stevens  
President and CEO, Investment Company Institute

*Breakfast event sponsored by*

Deloitte

8:45–9:45 a.m.

**The Future of Investment Advice**

MARRIOTT BALLROOM

Wealth management leaders will explore the future of investment advice, including:

» How investment advice will be delivered in coming years to different marketplaces  
» The roles that technology and aggregation will play  
» How robo-advisers are evolving

Stuart Parker, Moderator  
President, Prudential Investments

Eli Broverman  
Cofounder, Betterment

Kelly Coffey  
CEO, U.S. Private Bank, J.P. Morgan

Ben Huneke  
Managing Director, Head of Investment Solutions, Morgan Stanley Wealth Management
10:00–11:00 a.m.

Managing Risk in an Unstable World

MARRIOTT BALLROOM

Ian Bremmer, noted political scientist, author, and analyst of world markets, will discuss today’s global political risks and how political developments move markets. Following his presentation, Bremmer will engage in a question-and-answer session with James McNamara, chairman of this year’s GMM.

Ian Bremmer
President and Founder, Eurasia Group
Author

James McNamara
Chairman, GMM Planning Committee
President and CEO, Goldman Sachs Mutual Funds

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