Program

TUESDAY, MAY 22

1:00–7:00 p.m.

Conference Registration
CONCOURSE FOYER

1:00–3:00 p.m.

Exhibit Hall Open
COLUMBIA, TERRACE LEVEL
Refreshments will be available.

3:00–3:10 p.m.

Welcome and Opening Remarks
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Stuart Parker
Chairman
GMM Planning Committee
President and CEO
PGIM Investments

3:10–4:00 p.m.

James Gorman: An Address to the Fund Industry
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

James Gorman
Chairman and CEO
Morgan Stanley

4:00–5:00 p.m.

David M. Rubenstein in Conversation with Paul Schott Stevens
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

David M. Rubenstein
Cofounder and Co–Executive Chairman
The Carlyle Group

Paul Schott Stevens
President and CEO
Investment Company Institute
5:00–7:00 p.m.

Welcome Reception and Legal Forum
EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

Legal Forum Presentations:
GUNSTON, ENTER THROUGH EXHIBIT HALL

The SEC, the DOL, and the States: A New Fiduciary World
Stradley Ronon
Speakers: David W. Grim, George Michael Gerstein, and Lawrence P. Stadulis

Securities Lending Back on the Radar: Volatility Is Up, Rates Are Up—It’s a Good Time to Look at Securities Lending or to Reevaluate Your Strategy
eSecLending
Speakers: Craig Starble and Peter Bassler

Welcome reception sponsored by

WEDNESDAY, MAY 23

7:00 a.m.–6:30 p.m.

Conference Registration
CONCOURSE FOYER

7:45–8:45 a.m.

Buffet Breakfast with Exhibitors
EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

7:45–8:45 a.m.

Interactive Breakfast Discussion Sessions
Closed to the media

Buffet breakfast will be available.

Seating for the breakfast discussion sessions is limited; arrive early to increase your chances of receiving your first session choice.

1. Closed-End Fund Matters
GEORGETOWN EAST, CONCOURSE LEVEL

David Lamb, Discussion Leader
Senior Vice President, Product and Market Analytics
Nuveen Securities

2. The ETF Marketplace
MONROE, CONCOURSE LEVEL

Gregory Barton, Discussion Leader
Chief Legal Officer
WisdomTree Investments, Inc.
3. Critical Decisions Facing Distribution Leaders
LINCOLN WEST, CONCOURSE LEVEL

Brian Moran, Discussion Leader
Managing Director, Head of National Accounts and Retirement
AMG Funds

4. Opportunities and Challenges Facing Global Fund Managers
LINCOLN EAST, CONCOURSE LEVEL

Dan Waters, Discussion Leader
Managing Director
ICI Global

9:00–9:15 a.m.
Chairman's Address
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

William F. “Ted” Truscott
Chairman
Investment Company Institute
CEO
Columbia Threadneedle Investments

9:15–10:05 a.m.
Facing the Future: Fresh Perspectives
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Yie-Hsin Hung, Moderator
CEO
New York Life Investment Management

Jillian DelSignore
Head of ETF Distribution
J.P. Morgan

Kirk Moore
Head of Global Research
Columbia Threadneedle Investments

Matthew O'Connor
President, American Funds Distributors
Director, North American Distribution
Capital Group
10:15–11:05 a.m. Investing Today: Insights, Risks, and More
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Marie Chandoha, Moderator
President and CEO
Charles Schwab Investment Management

Rupal Bhansali
Chief Investment Officer, International and Global Equities
Ariel Investments

Sarah Ketterer
CEO and Cofounder
Causeway Capital Management

Teresa Kong
Portfolio Manager
Matthews Asia

11:05 a.m.–12:15 p.m. Reception with Book Signing and Legal Forum
EXHIBIT HALL | COLUMBIA, TERRACE LEVEL
Come meet GMM keynote speaker Jon Meacham and Operations and Technology Conference speaker Amy E. Herman at the pre-lunch reception book signing. The speakers will be signing the following books:

- The Soul of America by Jon Meacham
- Visual Intelligence by Amy E. Herman

Registrants may purchase either or both of these books in advance of the conference, pick them up in the Exhibit Hall, and have them signed. A limited number of books also will be available for purchase onsite at the GMM.

Legal Forum Presentation:
GUNSTON, ENTER THROUGH EXHIBIT HALL

How ESG Is Shaping the Future of New Fund Launches Globally
BNP Paribas
Speaker: Georg Lasch
12:15–2:00 p.m. Luncheon with Keynote Speaker Jon Meacham
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Pulitzer Prize–winning author Jon Meacham will discuss *The Soul of America*, his forthcoming book exploring key turning points in US history, apply lessons drawn from the country’s sometimes turbulent past to today’s partisan divisions, and explore what the future might hold for America.

Following Meacham’s remarks, he will engage in a question-and-answer session with ICI President and CEO Paul Schott Stevens.

*Jon Meacham*
Pulitzer Prize–Winning Author
Presidential Historian

*Paul Schott Stevens*
President and CEO
Investment Company Institute

*Luncheon sponsored by*

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2:20–3:15 p.m. Cross-Border Challenges and the Global Convergence of Regulatory Matters
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

*Martin Flanagan*, Moderator
President and CEO
Invesco

*David Hunt*
President and CEO
PGIM

*David J. Semaya*
Executive Advisor
Sumitomo Mitsui Trust Asset Management
Former Chairman, Nikko Asset Management

*Andreas Utermann*
CEO
Allianz Global Investors

3:30–4:30 p.m. Cybersecurity Concerns: How to Protect Fund Shareholders
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

*Chad Renfro*
Head of Enterprise Cybersecurity
Fidelity Investments
4:30–6:30 p.m.  

**Reception and Legal Forum**  
EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

**Legal Forum Presentations:**  
GUNSTON, ENTER THROUGH EXHIBIT HALL

**The Annual Contract Review Process: Developments in Recent Fee Litigation, Takeaways for Fund Boards, and What's Next**  
Goodwin Procter  
Speakers: Paul Delligatti and Michael Isenman

**How the Global Asset Manager Conducts Asset Management Business in China Under the New Opening Up Policies**  
Llinks Law Offices  
Speaker: Sandra Lu, Partner of Llinks

*Reception sponsored by*

**J.P. Morgan**

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7:00–10:00 p.m.  

**GMM Dinner Reception**  
SMITHSONIAN INSTITUTION NATIONAL MUSEUM OF AFRICAN AMERICAN HISTORY AND CULTURE

Enjoy excellent networking, cocktails, and hors d’oeuvres while exploring the thought-provoking and important exhibition space at the Smithsonian Institution National Museum of African American History and Culture.

Bus transportation from the Washington Hilton to the museum will begin at 6:30 p.m. Buses will be departing from the T Street entrance of the Washington Hilton.

*Dinner sponsored by*

**Dechert LLP**  
**PGIM Investments**

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**THURSDAY, MAY 24**

7:00–11:00 a.m.  

**Conference Registration**  
CONCOURSE FOYER

7:30–8:45 a.m.  

**Regulatory Session: A Conversation with SEC Commissioner Michael S. Piwowar**  
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

*Breakfast will be served until 8:00 a.m.*

**Michael S. Piwowar**  
Commissioner  
US Securities and Exchange Commission

**Paul Schott Stevens**  
President and CEO  
Investment Company Institute

*Breakfast sponsored by*

**PwC**
9:00–9:50 a.m.  
**Technology Disruptors**  
INTERNATIONAL BALLROOM, CONCOURSE LEVEL  

**Embracing Change: Artificial Intelligence and the Cloud**  
Tom Eck  
Chief Technology Officer, Industry Platforms  
IBM

**The Future of Transportation**  
Adam Jonas  
Managing Director  
Morgan Stanley

10:00–11:00 a.m.  
**Challenges and Change: My 132 Days Off the Planet**  
INTERNATIONAL BALLROOM, CONCOURSE LEVEL  

Captain Jerry Linenger, a NASA astronaut and retired US Navy flight surgeon, will share with the GMM audience the wonders of space exploration, including stories about the nearly five months he spent aboard the Russian space station Mir, where he survived repeated failure of critical life-support systems and an out-of-control fire.

Jerry Linenger  
NASA Astronaut  
United States Navy (Ret.) Flight Surgeon

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