Program

TUESDAY, MAY 22

1:00–7:00 p.m.  Conference Registration
                 CONCOURSE FOYER

1:00–3:00 p.m.  Exhibit Hall Open
                 COLUMBIA, TERRACE LEVEL
                 Refreshments will be available.

3:00–3:10 p.m.  Welcome and Opening Remarks
                 INTERNATIONAL BALLROOM, CONCOURSE LEVEL
                 Stuart Parker
                 Chairman, GMM Planning Committee
                 President and CEO, PGIM Investments

3:10–4:00 p.m.  James Gorman: An Address to the Fund Industry
                 INTERNATIONAL BALLROOM, CONCOURSE LEVEL
                 James Gorman
                 Chairman and CEO
                 Morgan Stanley

4:00–5:00 p.m.  David M. Rubenstein in Conversation with Paul Schott Stevens
                 INTERNATIONAL BALLROOM, CONCOURSE LEVEL
                 David M. Rubenstein
                 Cofounder and Co–Executive Chairman
                 The Carlyle Group
                 Paul Schott Stevens
                 President and CEO
                 Investment Company Institute
**Welcome Reception and Legal Forum**

EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

**Legal Forum Presentations:**

GUNSTON, ENTER THROUGH EXHIBIT HALL

**The SEC, the DOL, and the States: A New Fiduciary World**

Stradley Ronon
Speakers: David W. Grim, George Michael Gerstein, and Lawrence P. Stadulis

**Securities Lending Back on the Radar: Volatility Is Up, Rates Are Up—It’s a Good Time to Look at Securities Lending or to Reevaluate Your Strategy**

eSecLending
Speakers: Craig Starble and Peter Bassler

Welcome reception sponsored by

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**WEDNESDAY, MAY 23**

7:00 a.m.–6:30 p.m.

**Conference Registration**
CONCOURSE FOYER

7:45–8:45 a.m.

**Buffet Breakfast with Exhibitors**
EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

7:45–8:45 a.m.

**Interactive Breakfast Discussion Session: Protecting Fund Shareholders—Fraud Prevention Strategies**
FAIRCHILD, TERRACE LEVEL

Closed to the media

Buffet breakfast will be available.

**Cary Fuchs**, Discussion Co-Leader
Director, Funds Services and Operations
Principal Funds

**Joanne Kane**, Discussion Co-Leader
Director, Operations and Transfer Agency
Investment Company Institute

**David Thomas**, Discussion Co-Leader
Senior Associate Counsel and Director of Cyber Risk Issues
ICI Mutual
9:00–10:05 a.m. **Executive Insights**
JEFFERSON, CONCOURSE LEVEL

ICI’s Marty Burns will engage Kensho Technologies President and Chief Operating Officer Adam Broun in an insightful discussion about how data analytics and machine learning enable humans to parse large amounts of financial information very quickly in order to make key decisions with greater conviction. Kensho Technologies has been named a technology pioneer by the World Economic Forum and one of the five most innovative fintech companies in the world by Fortune magazine.

Burns will then explore operations challenges and technology priorities with Tim Ney, who is responsible for operations at Edward Jones. Topics will include the tools and capabilities that financial advisers and their clients most value from Edward Jones; possible sources of industry disruption; and evolving workforce imperatives.

**Adam Broun**  
President and Chief Operating Officer  
Kensho Technologies

**Marty Burns**  
Chief Industry Operations Officer  
Investment Company Institute

**Tim Ney**  
Principal, Operations  
Edward Jones

10:15–11:15 a.m. **The Art of Perception: Seeing What Matters**
JEFFERSON, CONCOURSE LEVEL

Attend this innovative session with art historian Amy E. Herman and learn how analyzing works of art can foster creative problem solving, encourage better decisionmaking, and enhance critical thinking. Based on her critically acclaimed seminar, The Art of Perception, this session will enhance your powers of perception and communication skills. Everyone from CEOs of Fortune 500 companies to law enforcement experts to healthcare professionals have attended and benefitted from her seminar and sessions.

**Amy E. Herman**  
Founder and President  
The Art of Perception, Inc.  
Author, *Visual Intelligence: Sharpen Your Intelligence, Change Your Life*
11:05 a.m.–12:15 p.m.

**Reception with Book Signing and Legal Forum**
EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

Come meet GMM keynote speaker Jon Meacham and Operations and Technology Conference speaker Amy E. Herman at the pre-lunch reception book signing. The speakers will be signing the following books:

- *The Soul of America* by Jon Meacham
- *Visual Intelligence* by Amy E. Herman

Registrants may purchase either or both of these books in advance of the conference, pick them up in the Exhibit Hall, and have them signed. A limited number of books also will be available for purchase onsite at the GMM.

**Legal Forum Presentation:**
GUNSTON, ENTER THROUGH EXHIBIT HALL

*How ESG Is Shaping the Future of New Fund Launches Globally*
BNP Paribas
Speaker: Georg Lasch

12:15–2:00 p.m.

**Luncheon with Keynote Speaker Jon Meacham**
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Pulitzer Prize–winning author Jon Meacham will discuss *The Soul of America*, his forthcoming book exploring key turning points in US history, apply lessons drawn from the country’s sometimes turbulent past to today’s partisan divisions, and explore what the future might hold for America.

Following Meacham’s remarks, he will engage in a question-and-answer session with ICI President and CEO Paul Schott Stevens.

**Jon Meacham**
Pulitzer Prize–Winning Author
Presidential Historian

**Paul Schott Stevens**
President and CEO
Investment Company Institute

*Luncheon sponsored by*

Citi
2:20–3:15 p.m.  Rethinking How We Approach the Business: Insights from Emerging Leaders
JEFFERSON, CONCOURSE LEVEL

A cross section of emerging asset management industry leaders will explore how the fund industry must evolve to meet the needs and expectations of younger investors; technology’s impact on how business is conducted; and how best to recruit, educate, and motivate a workforce positioned to lead fund operations into the future.

Ahmed Elghazaly, Moderator
Director, Securities Operations
Investment Company Institute

Jamie Jones Ezefili
Vice President, Corporate Social Responsibility
Northern Trust

Mike Mastroianni
Executive Director
J.P. Morgan Asset Management

Kyle Schmiegel
Vice President, Operational Services
Charles Schwab & Co

3:30–4:30 p.m.  Cybersecurity Concerns: How to Protect Fund Shareholders
INTERNATIONAL BALLROOM, CONCOURSE LEVEL

Chad Renfro
Head of Enterprise Cybersecurity
Fidelity Investments

4:30–6:30 p.m.  Reception and Legal Forum
EXHIBIT HALL | COLUMBIA, TERRACE LEVEL

Legal Forum Presentations:
GUNSTON, ENTER THROUGH EXHIBIT HALL

The Annual Contract Review Process: Developments in Recent Fee Litigation, Takeaways for Fund Boards, and What’s Next
Goodwin Procter
Speakers: Paul Delligatti and Michael Isenman

How the Global Asset Manager Conducts Asset Management Business in China Under the New Opening Up Policies
Links Law Offices
Speaker: Sandra Lu, Partner of Links

Reception sponsored by

J.P. Morgan
7:00–10:00 p.m.  
**GMM Dinner Reception**  
SMITHSONIAN INSTITUTION NATIONAL MUSEUM OF AFRICAN AMERICAN HISTORY AND CULTURE  
Enjoy excellent networking, cocktails, and hors d’oeuvres while exploring the thought-provoking and important exhibition space at the Smithsonian Institution National Museum of African American History and Culture.

Bus transportation from the Washington Hilton to the museum will begin at 6:30 p.m. Buses will be departing from the T Street entrance of the Washington Hilton.

You will be required to show your conference badge to enter the museum.

*Dinner sponsored by*  
Dechert LLP  
PGIM INVESTMENTS

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**THURSDAY, MAY 24**

7:00–11:00 a.m.  
**Conference Registration**  
CONCOURSE FOYER

7:30–8:45 a.m.  
**Regulatory Session: A Conversation with SEC Commissioner Michael S. Piwowar**  
INTERNATIONAL BALLROOM, CONCOURSE LEVEL  
*Breakfast will be served until 8:00 a.m.*

Michael S. Piwowar  
Commissioner  
US Securities and Exchange Commission

Paul Schott Stevens  
President and CEO  
Investment Company Institute

*Breakfast sponsored by*  
PwC

9:00–9:50 a.m.  
**Technology Disruptors**  
INTERNATIONAL BALLROOM, CONCOURSE LEVEL  
Embracing Change: Artificial Intelligence and the Cloud

Tom Eck  
Chief Technology Officer, Industry Platforms  
IBM

The Future of Transportation

Adam Jonas  
Managing Director  
Morgan Stanley
10:00–11:00 a.m.

**Challenges and Change: My 132 Days Off the Planet**

**INTERNATIONAL BALLROOM, CONCOURSE LEVEL**

Captain Jerry Linenger, a NASA astronaut and retired US Navy flight surgeon, will share with the GMM audience the wonders of space exploration, including stories about the nearly five months he spent aboard the Russian space station Mir, where he survived repeated failure of critical life-support systems and an out-of-control fire.

![Jerry Linenger](image)

**Jerry Linenger**

NASA Astronaut
United States Navy (Ret.) Flight Surgeon

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**THANK YOU TO OUR CONFERENCE SPONSORS**