Program

WEDNESDAY, MAY 1

10:00 a.m.–7:30 p.m.  Conference Registration
MARRIOTT BALLROOM FOYER

Noon–3:00 p.m.  Exhibit Hall Open
EXHIBIT HALL C
Lunch with exhibitors

3:00–3:30 p.m.  Welcome and Opening Remarks
MARRIOTT BALLROOM

Yie-Hsein Hung
Chair
GMM Planning Committee
CEO
New York Life Investment Management

Chairman’s Address
MARRIOTT BALLROOM

George C. W. Gatch
Chairman
Investment Company Institute
CEO, Global Funds Management
JP Morgan Asset Management

3:30–4:30 p.m.  Joseph C. Tsai in Conversation with Paul Schott Stevens
MARRIOTT BALLROOM

Joseph C. Tsai
Cofounder and Executive Vice Chairman
Alibaba Group

Paul Schott Stevens
President and CEO
Investment Company Institute
4:30–7:30 p.m.  Welcome Reception and Legal Forum  
EXHIBIT HALL C 
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[Image]

Legal Forum Presentations

**Investing in the World: Sanctions, Custody, and Access to the World’s Trickiest Markets**
4:45–5:30 P.M.  |  BAKER MCKENZIE
Speakers: John Conroy, Karl Egbert, Terence Gilroy, and Janet Kim

**Securities Lending—Are You Leaving Shareholder Money on the Table?**
5:35–6:25 P.M.  |  eSecLENDING
Speaker: Craig Starble

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**THURSDAY, MAY 2**

7:00 a.m.–6:30 p.m.  Conference Registration  
MARRIOTT BALLROOM FOYER

7:30–8:40 a.m.  Regulatory Breakfast Session: A Conversation with SEC Chairman Jay Clayton  
MARRIOTT BALLROOM
Breakfast will be served until 8:00 a.m.

**Jay Clayton**  
Chairman  
US Securities and Exchange Commission

**Paul Schott Stevens**  
President and CEO  
Investment Company Institute

BREAKFAST SPONSORED BY

[Image]
9:00–9:50 a.m.  
**Facing the Future: Leadership Perspectives**  
*MARRIOTT BALLROOM*  
In a dynamic conversation, fund leaders will explore the following topics:

» The challenges of running an asset management business  
» The power of transformation/turnarounds  
» Leadership transition and succession strategies  
» Firm culture and global talent challenges  
» Where to locate offices and staff, including portfolio management  

**Sarah Ketterer**, Moderator  
CEO and Co-founder  
Causeway Capital Management  

**Martin Flanagan**  
President and CEO  
Invesco  

**Joseph A. Sullivan**  
Chairman and CEO  
Legg Mason  

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10:00–10:50 a.m.  
**The Evolving Distribution Landscape**  
*MARRIOTT BALLROOM*  
This panel of fund distribution experts will explore varying paths for success of investment firms with regards to product mix, pricing, positioning, and service and support.

**T. Neil Bathon**, Moderator  
Managing Partner  
FUSE Research Network LLC  

**Sandy Bolton**  
Managing Director, Head of Managed Investments  
Merrill  

**Scott Burns**  
Head of Data, Research and Index Products  
Morningstar, Inc.  

**Kenneth Worthington**  
Equity Analyst—Brokers, Asset Managers, Exchanges  
JP Morgan
10:50–11:50 a.m.  **Reception and Legal Forum**

**EXHIBIT HALL C**

**Legal Forum Presentation**

**How Are Institutional Investors Coping with the Growing Global Litigation Challenge?**

10:50 a.m. | **KESSLER TOPAZ MELTZER & CHECK, LLP AND STRADLEY RONON STEVENS & YOUNG LLP**

Speakers: Stuart L. Berman, Darren Check, and Joe Kelleher

11:50 a.m.–1:30 p.m.  **Luncheon with Keynote Speaker Steven Pinker: Enlightenment Now**

**MARRIOTT BALLROOM**

If you read the news today, you might conclude that the human race is doomed, that democracy is on the decline, and that authoritarianism and tribalism are on the rise. But is the world really falling apart? In a timely and hopeful keynote address, Steven Pinker, one of the world’s most influential public intellectuals, makes the case for reason, science, and humanism: the ideals we need to confront our problems and continue our progress.

**Steven Pinker**

Professor
Harvard University

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1:50–2:40 p.m.  **Adapting to Global Change: How Asia Will Shape the World**

**MARRIOTT BALLROOM**

Global strategist Parag Khanna will address how Asia is rapidly returning to the centuries-old patterns of commerce, conflict, and cultural exchange that thrived long before European colonialism and American dominance. From investment portfolios and trade wars to Hollywood movies and university admissions, no aspect of life is immune from Asianization. With America’s tech sector dependent on Asian talent and politicians praising Asia’s glittering cities and efficient governments, Asia is permanently in our nation’s consciousness. This session will provide an accurate picture of what the Asian century will look like.

Following Khanna’s presentation, he and Allianz’s Doug Eu will engage in a Q&A session.

**Parag Khanna**

Founder and Managing Partner
FutureMap
Author, *The Future Is Asian* and *Connectography: Mapping the Future of Global Civilization*

**Douglas Eu**

CEO
Allianz Global Investors US Holdings LLC
2:50–3:40 p.m. **Global Markets: Navigating Regulatory and Policy Developments**

MARRIOTT BALLROOM

ICI’s Paul Schott Stevens will engage global leaders in a timely discussion about key regulatory, policy, and political developments worldwide.

**Paul Schott Stevens,** Moderator  
President and CEO  
Investment Company Institute

**Peter De Proft**  
Honorary Director General  
European Fund and Asset Management Association (EFAMA)

**David Wright**  
Partner  
Flint  
Secretary General (Retired)  
International Organization of Securities Commissions

3:45–4:30 p.m. **50 Years of Apollo Missions: The Next Giant Leap**

MARRIOTT BALLROOM

Ellen Stofan, director of the Smithsonian National Air and Space Museum, will recount the challenges of the Apollo Program and the remarkable technologies that made the moon landings possible. She will then share with the GMM audience what the future may hold for space exploration.

**Ellen Stofan**  
Director  
Smithsonian National Air and Space Museum  
Former Chief Scientist  
NASA

**Yie-Hsin Hung**  
Chair  
GMM Planning Committee  
CEO  
New York Life Investment Management
4:30–6:30 p.m.  **Reception with Book Signing and Legal Forum**

**EXHIBIT HALL C**

Come meet GMM speaker Parag Khanna, who will be signing his latest book, *The Future Is Asian*. Registrants may purchase this book in advance of the conference, pick it up in the Exhibit Hall, and have it signed. A limited number of books also will be available for purchase onsite.

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**J.P. Morgan**

**Legal Forum Presentations**

**Developments in Recent Fee Litigation, Takeaways for Fund Boards, and What’s Next**

4:30–5:15 P.M.  | **GOODWIN PROCTOR**
Speakers: Paul Delligatti, Nicole Griffin, and Mike Isenman

**The Further Opening of the Chinese Asset Management Sector**

5:20–6:05 P.M.  | **LINKS LAW OFFICES**
Speaker: Sandra Lu

7:00–10:00 p.m.  **2019 GMM Dinner Reception**

**SMITHSONIAN NATIONAL AIR AND SPACE MUSEUM**

Enjoy excellent networking, cocktails, and hors d’oeuvres while exploring the exhibition space at the Smithsonian National Air and Space Museum.

Bus transportation from the Marriott Wardman Park to the museum will begin at 6:30 p.m. Buses will be departing from the 24th Street lobby entrance of the hotel.

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**State Street**
FRIDAY, MAY 3

7:00–11:00 a.m.  Conference Registration
MARRIOTT BALLROOM FOYER

7:45–8:45 a.m.  Interactive Breakfast Discussion Sessions
MARRIOTT BALLROOM
Closed to the media
Buffet breakfast will be available.
Seating for the breakfast discussion sessions is limited; arrive early to increase your chances of receiving your first session choice.

1.  Closed-End Fund Matters
WASHINGTON ROOM 1

   Jonathan Isaac, Discussion Leader
   Director of Product Management
   Eaton Vance

2.  Diversity and Inclusion in the Asset Management Industry
WASHINGTON ROOM 4

   Sue Toigo, Discussion Leader
   Cofounder
   Toigo Foundation

3.  Exploring ETFs
WASHINGTON ROOM 2

   Melissa S. Gainor, Discussion Leader
   Senior Special Counsel
   Division of Investment Management
   US Securities and Exchange Commission

   Kay-Mario Vobis, Discussion Leader
   Senior Counsel
   Division of Investment Management
   US Securities and Exchange Commission
4. **Understanding Brexit’s Impact on the Global Regulated Fund Industry**  
**WASHINGTON ROOM 3**

The United Kingdom’s June 2016 decision to leave the European Union has shaken the landscape for offering and managing funds in Europe, no matter when or how a UK exit actually transpires. Join us for a discussion about how Brexit has affected almost every aspect of the value chain for funds—from delegation of portfolio management, to cross-border distribution of funds, to trading issues—and how global fund managers are addressing these challenges.

**Robert Grohowski**  
Vice President, Legislative and Regulatory Affairs  
T. Rowe Price Associates

**Sean Tuffy**  
Director  
Citi Fund Services

9:00–9:50 a.m.

**Marketing Innovations and Imperatives: Insights from Senior Executives**  
**MARRIOTT BALLROOM**

Senior marketing and innovation experts will share their thoughts on organizational transformation and the evolving role of marketing at asset management firms; purpose-driven marketing; the role of social media in building communities; and the importance of employing an agile, lean technology staff to ensure that the organization as a whole has real-time access to customer data.

**Frank Cooper III**, Moderator  
Senior Managing Director and Global Chief Marketing Officer  
BlackRock

**Simon Mulcahy**  
Chief Innovation Officer  
Salesforce

**Martha “Marty” Willis**  
Chief Marketing Officer  
Nuveen
10:00–10:50 a.m.  

**The Technology Horizon**  
MARRIOTT BALLROOM  

Accenture’s Kelley Conway will provide an overview of key fintech trends, including the impact of sentiment analysis and broader artificial intelligence tools on the asset management industry, and then moderate a panel of technologists, who will share their thoughts on what’s coming in the technology pipeline.

**Kelley Conway,** Moderator  
Managing Director  
Accenture Financial Services Technology Advisory

**Dave Hannibal**  
Global Head, Strategic Partnerships and Alliances  
Duco

Additional panelists TBA