Program

WEDNESDAY, MAY 1

10:00 a.m.–7:30 p.m.  Conference Registration
MARRIOTT BALLROOM FOYER

Noon–3:00 p.m.  Exhibit Hall Open
EXHIBIT HALL C
Lunch with exhibitors

3:00–3:30 p.m.  Welcome and Opening Remarks
MARRIOTT BALLROOM

Yie-Hsin Hung
Chair
GMM Planning Committee
CEO
New York Life Investment Management

Chairman's Address
MARRIOTT BALLROOM

George C. W. Gatch
Chairman
Investment Company Institute
CEO, Global Funds Management
JP Morgan Asset Management

3:30–4:30 p.m.  Joseph C. Tsai in Conversation with Paul Schott Stevens
MARRIOTT BALLROOM

Joseph C. Tsai
Cofounder and Executive Vice Chairman
Alibaba Group

Paul Schott Stevens
President and CEO
Investment Company Institute
4:30–7:30 p.m. Welcome Reception and Legal Forum
EXHIBIT HALL C

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Legal Forum Presentations

Investing in the World: Sanctions, Custody, and Access to the World’s Trickiest Markets
4:45–5:30 P.M. | BAKER MCKENZIE
Speakers: John Conroy, Karl Egbert, Terence Gilroy, and Janet Kim

Securities Lending—Are You Leaving Shareholder Money on the Table?
5:35–6:25 P.M. | eSecLENDING
Speaker: Craig Starble

THURSDAY, MAY 2

7:00 a.m.–6:30 p.m. Conference Registration
MARRIOTT BALLROOM FOYER

7:30–8:40 a.m. Regulatory Breakfast Session: A Conversation with SEC Chairman Jay Clayton
MARRIOTT BALLROOM
Breakfast will be served until 8:00 a.m.

Jay Clayton
Chairman
US Securities and Exchange Commission

Paul Schott Stevens
President and CEO
Investment Company Institute

BREAKFAST SPONSORED BY

PwC
9:00–9:50 a.m. **Facing the Future: Leadership Perspectives**
MARRIOTT BALLROOM

In a dynamic conversation, fund leaders will explore the following topics:

» The challenges of running an asset management business
» The power of transformation/turnarounds
» Leadership transition and succession strategies
» Firm culture and global talent challenges
» Where to locate offices and staff, including portfolio management

**Sarah Ketterer**, Moderator
CEO and Cofounder
Causeway Capital Management

**Martin Flanagan**
President and CEO
Invesco

**Joseph A. Sullivan**
Chairman and CEO
Legg Mason

10:00–10:35 a.m. **Changing Roles and Competencies: Preparing Your Workforce**
MARYLAND ROOM

ICI Chief Industry Operations Officer Marty Burns will engage human capital expert Michele Porterfield in a discussion about the challenges of developing longer-term employees and moving them through the leadership pipeline, given changing skill requirements and business demands.

**Marty Burns**
Chief Industry Operations Officer
Investment Company Institute

**Michele Porterfield**
Practice Leader
Leadership Solutions, Inc.
10:35–11:10 a.m.  The NextGen Workforce: Are You Ready?  
MARYLAND ROOM  

A panel of young professionals will share their thoughts on today’s workforce, what motivates them, how they use technology, their impressions of the asset management industry, and their expectations for the future.

Marty Burns  
Chief Industry Operations Officer  
Investment Company Institute

Elizabeth B. Scalf  
Chief Compliance Officer  
Trust for Professional Managers  
Senior Vice President  
U.S. Bank Global Fund Services

Kelsey Harris  
Graduate student applicant

Ryan Stahlin  
Research Analyst  
National Security Analytics Group  
Battelle Memorial Institute

11:10–11:50 a.m.  Reception and Legal Forum  
EXHIBIT HALL C  

Legal Forum Presentation  

How Are Institutional Investors Coping with the Growing Global Litigation Challenge?  
11:05–11:50 A.M. | KESSLER TOPAZ MELTZER & CHECK, LLP AND STRADLEY RONON STEVENS & YOUNG LLP  
Speakers: Stuart L. Berman, Darren Check, and Joe Kelleher
11:50 a.m.–1:30 p.m.  
**Luncheon with Keynote Speaker Steven Pinker: Enlightenment Now**  
**MARRIOTT BALLROOM**

If you read the news today, you might conclude that the human race is doomed, that democracy is on the decline, and that authoritarianism and tribalism are on the rise. But is the world really falling apart? In a timely and hopeful keynote address, Steven Pinker, one of the world’s most influential public intellectuals, makes the case for reason, science, and humanism: the ideals we need to confront our problems and continue our progress.

**Steven Pinker**  
Professor  
Harvard University  

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1:50–2:40 p.m.  
**Adapting to Global Change: How Asia Will Shape the World**  
**MARRIOTT BALLROOM**

Global strategist Parag Khanna will address how Asia is rapidly returning to the centuries-old patterns of commerce, conflict, and cultural exchange that thrived long before European colonialism and American dominance. From investment portfolios and trade wars to Hollywood movies and university admissions, no aspect of life is immune from Asianization. With America’s tech sector dependent on Asian talent and politicians praising Asia’s glittering cities and efficient governments, Asia is permanently in our nation’s consciousness. This session will provide an accurate picture of what the Asian century will look like.

Following Khanna’s presentation, he and Allianz’s Doug Eu will engage in a Q&A session.

**Parag Khanna**  
Founder and Managing Partner  
FutureMap  
Author, *The Future Is Asian* and *Connectography: Mapping the Future of Global Civilization*

**Douglas Eu**  
CEO  
Allianz Global Investors US Holdings LLC
2:50–3:40 p.m. **Fighting the Borg Collective: What a Relentless Cybersecurity Threat Means for the Enterprise**

**THURGOOD MARSHALL BALLROOM**

Attend this joint session of the Operations and Technology and Compliance Programs Conferences to learn which strategies and tactics firms leverage to mitigate evolving and persistent threats. Explore key issues operational and compliance professionals should consider as they address cyber risks within their firms.

**Janine Comstock,** Moderator  
Chief Security Officer  
MFS Investment Management

**John Cembrook**  
Chief Information Security Officer  
Lord, Abbett & Co.

**Jason Fickett**  
Acting Special Agent in Charge  
Federal Bureau of Investigation (FBI)

3:45–4:30 p.m. **50 Years of Apollo Missions: The Next Giant Leap**

**MARRIOTT BALLROOM**

Ellen Stofan, director of the Smithsonian National Air and Space Museum, will recount the challenges of the Apollo Program and the remarkable technologies that made the moon landings possible. She will then share with the GMM audience what the future may hold for space exploration.

**Ellen Stofan**  
Director  
Smithsonian National Air and Space Museum  
Former Chief Scientist  
NASA

**Yie-Hsin Hung**  
Chair  
GMM Planning Committee  
CEO  
New York Life Investment Management
4:30–6:30 p.m.  **Reception with Book Signing and Legal Forum**  
**EXHIBIT HALL C**

Come meet GMM speaker Parag Khanna, who will be signing his latest book, *The Future Is Asian*. Registrants may purchase this book in advance of the conference, pick it up in the Exhibit Hall, and have it signed. A limited number of books also will be available for purchase onsite.

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**J.P. Morgan**

**Legal Forum Presentations**

**Developments in Recent Fee Litigation, Takeaways for Fund Boards, and What’s Next**
4:30–5:15 P.M.  |  **GOODWIN PROCTOR**
Speakers: Paul Delligatti, Nicole Griffin, and Mike Isenman

**The Further Opening of the Chinese Asset Management Sector**
5:20–6:05 P.M.  |  **LLINKS LAW OFFICES**
Speaker: Sandra Lu

7:00–10:00 p.m.  **2019 GMM Dinner Reception**  
**SMITHSONIAN NATIONAL AIR AND SPACE MUSEUM**

Enjoy excellent networking, cocktails, and hors d’oeuvres while exploring the exhibition space at the Smithsonian National Air and Space Museum.

Bus transportation from the Marriott Wardman Park to the museum will begin at 6:30 p.m. Buses will be departing from the 24th Street lobby entrance of the hotel.

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**State Street**
FRIDAY, MAY 3

7:00–11:00 a.m.  Conference Registration
MARRIOTT BALLROOM FOYER

7:45–8:45 a.m.  Interactive Breakfast Discussion Session: Timely Operations and Technology Challenges
MARYLAND ROOM
Closed to the media
Buffet breakfast will be available.
Seating for the breakfast discussion session is limited and will be filled on a first-come, first-served basis.
This interactive session will feature roundtable discussions on timely operations and technology topics. Session registrants will be provided a list of topics to be addressed at each table in advance of the conference.

9:00–9:50 a.m.  Marketing Innovations and Imperatives: Insights from Senior Executives
MARRIOTT BALLROOM
Senior marketing and innovation experts will share their thoughts on organizational transformation and the evolving role of marketing at asset management firms; purpose-driven marketing; the role of social media in building communities; and the importance of employing an agile, lean technology staff to ensure that the organization as a whole has real-time access to customer data.

Frank Cooper III, Moderator
Senior Managing Director and Global Chief Marketing Officer
BlackRock

Simon Mulcahy
Chief Innovation Officer
Salesforce

Martha "Marty" Willis
Chief Marketing Officer
Nuveen
10:00–10:50 a.m.  **The Technology Horizon**

MARRIOTT BALLROOM

Accenture's Kelley Conway will provide an overview of key fintech trends, including the impact of sentiment analysis and broader artificial intelligence tools on the asset management industry, and then moderate a panel of technologists, who will share their thoughts on what's coming in the technology pipeline.

**Kelley Conway**, Moderator
Managing Director
Accenture Financial Services Technology Advisory

**Dave Hannibal**
Global Head, Strategic Partnerships and Alliances
Duco

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